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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

10/707,818

Filing Date

January 14, 2004

First Named Inventor

Stern et al

Art Unit

3762

Examiner Name

Mark Bockelman

Attorney Docket Number

2003.15

### ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/  
Incomplete Application



Reply to Missing Parts  
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a  
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board  
of Appeals and Interferences



Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify  
below):

Photocopy of Certified Court Order attached  
to Petition filed under 37 CFR 1.182

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Northstar Neuroscience, Inc.

Signature

Printed name

Sonya C. Harris

Date

August 26, 2005

Reg. No.

47,263

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

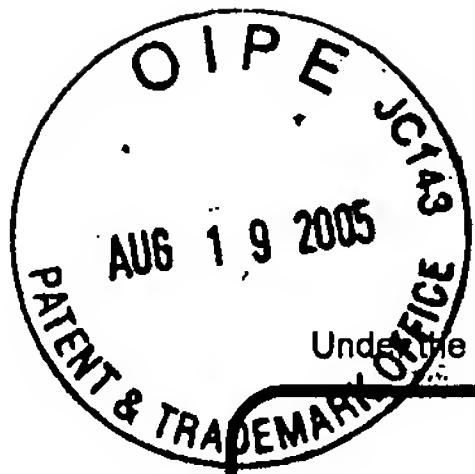
Sonya C. Harris

Date

8-19-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FEE**  
**Under 37 CFR 1.17(f), (g) & (h)**  
**TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/707,818
Filing Date	1-14-05
First Named Inventor	Stern et al
Art Unit	3762
Examiner Name	Mark Bockelman
Attorney Docket Number	2003.15

**Enclosed is a petition filed under 37 CFR 1.182 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 400.00 is enclosed.**

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

**Payment of Fees** (small entity amounts are NOT available for the petition fees)

- ☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 502854 :  
☐ petition fee under 37 CFR 1.17(f), (g) or (h) ☐ any deficiency of fees and credit of any overpayments  
Enclose a duplicative copy of this form for fee processing.
- ☐ Check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462**

For petitions filed under:

- § 1.53(e) - to accord a filing date.
- § 1.57(a) - to accord a filing date.
- § 1.182 - for decision on a question not specifically provided for.
- § 1.183 - to suspend the rules.
- § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

**Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463**

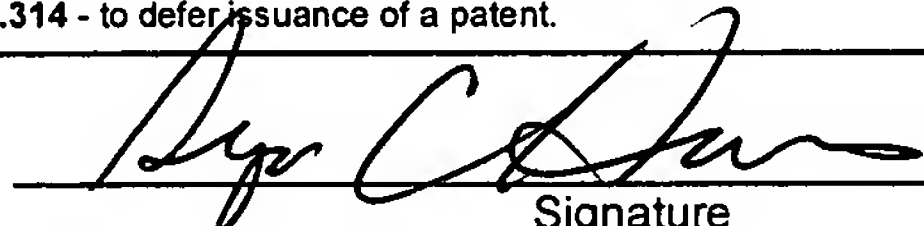
For petitions filed under:

- § 1.12 - for access to an assignment record.
- § 1.14 - for access to an application.
- § 1.47 - for filing by other than all the inventors or a person not the inventor.
- § 1.59 - for expungement of information.
- § 1.103(a) - to suspend action in an application.
- § 1.136(b) - for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 - for review of refusal to publish a statutory invention registration.
- § 1.296 - to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.
- § 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.
- § 5.12 - for expedited handling of a foreign filing license.
- § 5.15 - for changing the scope of a license.
- § 5.25 - for retroactive license.

**Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464**

For petitions filed under:

- § 1.19(g) - to request documents in a form other than that provided in this part.
- § 1.84 - for accepting color drawings or photographs.
- § 1.91 - for entry of a model or exhibit.
- § 1.102(d) - to make an application special.
- § 1.138(c) - to expressly abandon an application to avoid publication.
- § 1.313 - to withdraw an application from issue.
- § 1.314 - to defer issuance of a patent.

  
Signature  
Sonya C. Harris  
Typed or printed name

8/19/2005  
Date  
47,263  
Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

08-22-05

DAC  
JW

Appl. No. 10/707,818  
File date 1/14/2004



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/707,818 Confirmation No.: 1817  
Applicant : Stern et al  
Filed : January 14, 2004  
TC/A.U. : 3762  
Examiner : Mark Bockelman  
Docket No. : 2003.15  
Cust. No. : 000037905  
Date : August 26, 2005

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO CHANGE INVENTOR NAME UNDER 37 CFR 1.182**

Sir:

In reference to the above named application, the first named applicant, formerly indicated as CORRINNE JOHNSON STERN, hereby petitions to change her name, as a result of a divorce, from the above to:

CORINNE HOPKINS JOHNSON.

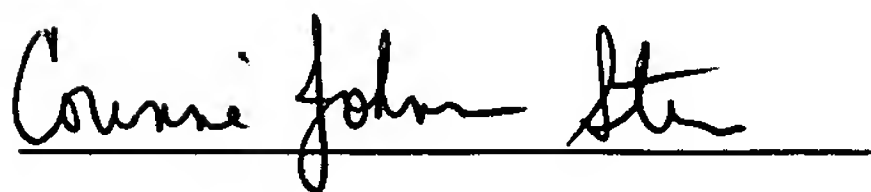
The petition fee in the amount of \$ 400.00, as set forth under 37 CFR 1.182 should be deducted from Deposit Account No. 502854.

Furthermore, as set forth in MPEP 605.04(c).please find attached:

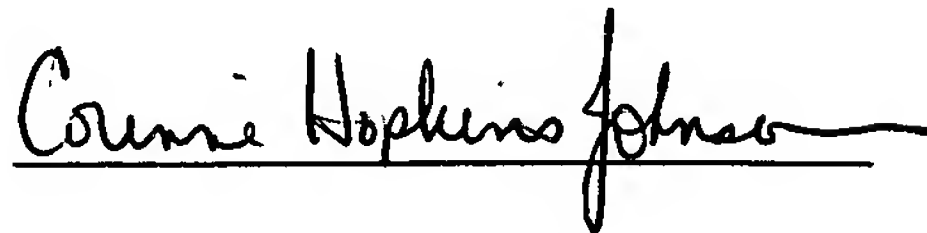
08/23/2005 TBESHAH1 00000061 502854 10707818  
01 FC:1462 400.00 DA

Appl. No. 10/707,818  
File date 1/14/2004

- 1) a copy of the certified court order indicating the name change
- 2) signatures below of the former name and current name:



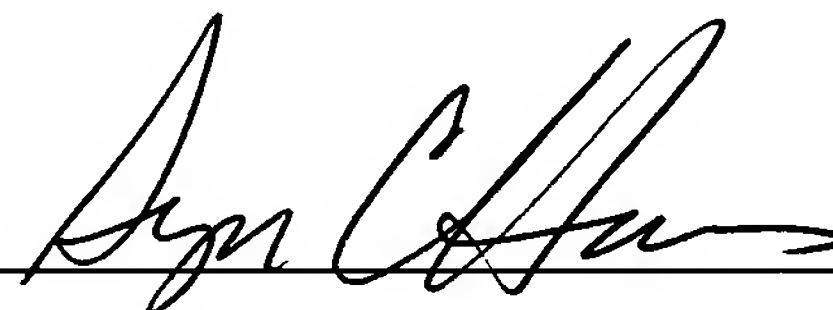
CORRINNE JOHNSON STERN  
Former Name



CORINNE HOPKINS JOHNSON  
Current Name

Applicant respectfully petitions that the above requested changes be  
made in view of the above.

Respectfully submitted,

By 

Sonya C. Harris  
Agent for Applicant  
Reg. No. 47,263  
Northstar Neuroscience, Inc.  
Tel.: (206) 902-1904

Attachment:  
Photocopy of Certified Copy of Court Order



FILED  
05 APR 25 PM 1:42  
KING COUNTY  
SUPERIOR COURT CLERK  
SEATTLE, WA.

EXPO1

CERTIFIED  
COPY

**SUPERIOR COURT OF WASHINGTON  
COUNTY OF KING**

**In re the Marriage of:**

CORINNE JOHNSON STERN

NO. 04-3-07149-6 SEA

**DECREE OF DISSOLUTION (DCD)**

**Petitioner,**

**and**

STEPHEN PHILIP STERN  
**Respondent.**

**I. JUDGMENT/ORDER SUMMARIES**

**1.1 RESTRAINING ORDER SUMMARY:**

Does not apply.

**1.2 REAL PROPERTY JUDGMENT SUMMARY:**

Real Property Judgment Summary is set forth below:

Assessor's property tax parcel or account number 352920-0140-03

See Exhibit A for full legal description.

**1.3 MONEY JUDGMENT SUMMARY:**

**ORIGINAL** Law Office of J. Mark Weiss  
P.S.

DECREE (DCD) (DCLSP) (DCINMG) - Page 1 of 4  
WPF DR 04.0400 (6/2004) - RCW 26.09.030; .040; .070 (3)

Suite 1810, IBM Building  
1200 Fifth Ave.  
Seattle, W 98101  
(206)622-6707

3.12 ATTORNEY'S FEES, OTHER PROFESSIONAL FEES AND COSTS.

Does not apply.

3.13 NAME CHANGES.

The wife's name shall be changed to Corinne Hopkins Johnson.

3.14 OTHER.

None.

Dated: 4/25/05

  
Judge/Commissioner


Petitioner or petitioner's attorney:  
A signature below is actual notice of this  
order.

Presented by:

Respondent or respondent's attorney:  
A signature below is actual notice of this  
order.

Approved by:

Notice for presentation waived:

  
Kathleen L. Royer  
W.S.B.A. #21435

  
Robert E. Prince  
W.S.B.A. #00481

Approved:

  
Corinne Johnson Stern

Approved:

  
Stephen Philip Stern

STATE OF WASHINGTON } ss.  
County of King

I, BARBARA MINER, Clerk of the Superior Court  
of the State of Washington, for the County of King, do hereby certify  
that I have compared the foregoing copy with the original instrument as  
the same appears on file and of record in my office, and that the same  
is a true and perfect transcript of said original and of the whole thereof.  
IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the  
Seal of said Superior Court at my office at Seattle this \_\_\_\_\_  
day of \_\_\_\_\_ 20 \_\_\_\_\_

APR 25 2005

BARBARA MINER, Superior Court Clerk

Deputy Clerk

R. BROWN